### Attorney's Docket No. 6289

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Claudio L.K. Lins

Serial No.:

Filed:

ELECTROSTATIC DISINFECTANT DELIVERY For:

**Assistant Commissioner for Patents** Washington, D.C. 20231

### TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION (37 CFR 1.97(b))

"An information disclosure statement shall be considered by the Office if filed: (1) within three months of the filing date of a national application; (2) within three months of the date of entry of the national stage as set forth in § 1.491 in an international application, or (3) before the mailing date of a first Office action on the merits, whichever event occurs NOTE last." 37 CFR 1.97(b).

The "filing date of a national application" under 37 CFR 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark Office, the filing is defined in 37 CFR 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing NOTE: required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 CFR 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in \$ 1.494 or \$ 1.495, 35 U.S.C. § 371(c) requires the filing of the following: (1) the national fee: (2) a copy of the international application, unless already sent by the International Bureau, and an English translation if filed in another language; (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration, and (5) a translation into English of any annexes to the International preliminary examination report, if such annexes were made in another language. 37 CFR 1.97(b)(2).

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1-8) 1/2

Thereby certify that this correspondence is, on the date shown below, being

#### MAILING

 $\|X\|$  deposited with the United States Postal Service with sufficient postage as Express Mail No. FL732809235US in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 Date \_January 22, 2001

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Signature

Rodney D. DeKruif

stype or print name of person certifying)

### IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(b).

- **NOTE:** "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing.
- NOTE—"An information disclosure statement will be considered to have been filed on the day it was received in the Office or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 CFR 1.8, or Express Mail certificate under 37 CFR 1.10. An Office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O G 37-41, 39).
- NOTE "The term 'national application' includes continuing applications (continuations, divisions, continuations-inpart) so three months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39)
- NOTF: "An action on the merits means an action which treats the patentability of the claims in an application—as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirement (3" CFR 1-142) or just a requirement for additional fees to have a claim considered (3" CFR 1-16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).
- WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 CFR 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63).

Dated: 1/22/01 Reg. No. 35,853

Tel. No.: (414) 298-8360

Committee of the second

Customer No.: 22922

SIGNATURE OF ATTORNEY

Rodney D. DeKruif

Reinhart, Boerner, Van Deuren.

Norris & Rieselbach, s.c.

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#### **PATENT**

Thereby certify that, on the date shown below, this document is being deposited with the United States Postal Service as Express Mail H 732809235US in an envelope addressed to the Assistant Commissioner for Patents. Washington, DC 20231 on January 22, 2001.

Reinhart, Boerner, Van Deuren, Norris & Rieselbach, s.c.

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		By	Rodney D DeKruf	
Dated	January, 22, 2001		TRADEMARK	OFFIC

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: Claudio L.K. Lins Serial No.:	) ) )	Docket No. 6289
Filed: For: ELECTROSTATIC DISINFECTANT DELIVERY	)	

Assistant Commissioner for Patents Washington, D.C. 20231

# INFORMATION DISCLOSURE STATEMENT

On behalf of Applicant and pursuant to 37 C.F.R. § 1.97-.98, copies of listed patents and Sir: publications that may be relevant are hereby presented for consideration.

Respectfully submitted,

Rodney D. DeKruff

Dated: January 22, 2001 Registration No.: 35,853

Telephone No.: (414) 298-8360

Reinhart, Boerner, Van Deuren, Norris & Rieselbach, s.c. Attn: Linda Gabriel, Docket Clerk 1000 North Water Street, Suite 2100 Milwaukee, WI 53203-3186

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INFORMATION DISCLOSURE	First Named Inventor	Lins	
STATEMENT BY APPLICANT	Group Art Unit		
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Substitute for form 1449A.PTO	Application Number		
INFORMATION DISCLOSURE	Filing Date		
STATEMENT BY APPLICANT	First Named Inventor Lins		
STATEMENT	Group Art Unit		
(use as many sheets as necessary)	Examiner Name		
	Attorney Docket Number 6289		
Sheet 2 of 2			

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
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